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April 12, 2004

## **RECEIVED**

APR 1 6 2004

Art Unit 2144

WRITER'S DIRECT NUMBER:

**Technology Center 2100** 

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re: U.S. Utility Patent Application

Application No. 09/690,437; Filed: October 18, 2000

For: Apparatus, Method And Computer Program Product For Efficiently

**Pooling Connections Between Clients And Servers** 

Inventors:

SUSAI et al.

Our Ref:

1763.0110000

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. PTO Fee Transmittal;
- 2. Second Supplemental Information Disclosure Statement;
- 3. Form PTO-1449 listing ELEVEN (11) documents (1 page);
- 4. Copies of the ELEVEN (11) cited documents as listed on Form PTO-1449;
- 5. PTO-2038 Credit Card Payment Form for \$180.00 to cover the IDS filing fee; and
- 6. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox P.L.L.C.: 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skgf.com

Commissioner for Patents April 12, 2004 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert Sokohl Attorney for Applicants Registration No. 36,013

RES/mjg Enclosures

Sterne, Kessler, Goldstein & Fox PLLC. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skgf.com

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
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## **TRANSMITTAL** for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

X Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)

Sports to a consection of immortance and property a value of the section individual								
Co	omplete if Known							
Application Number	09/690,437							
Filing Date	October 18, 2000							
First Named Inventor	Michel K. SUSAI							
Examiner Name	Phan, Tam T.							
Art Unit	2144 Technology Center 2 00							
Attorney Docket No.	1763.0110000 TCCHHOLOGY GOTTON							

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
☐ Check X Credit card ☐ Money X Other** None	3. ADDITIONAL FEES					
*Charge any deficiencies or credit any overpayments in	Large E	Entity	Small	Entity		
Deposit Account: the fees to Deposit Acci, No. 19-0036.  Deposit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	
Account 19-0036	1051	130	2051		Surcharge - late filing fee or oath	Fee Paid
Deposit Account Sterne Kessler, Goldstein & Fox P.L.L.C.	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name	1053	130	1053	130	Non-English specification	
The Director is authorized to: (check all that apply)  Charge fee(s) indicated below  Credit any overpayments		2,520	1812		For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments  Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to	
Charge fee(s) indicated below, except for the filing fee	4005	4 0 40*	4005	4 040*	Examiner action  Requesting publication of SIR after	
to the above-identified deposit account.	1805	1,840*	1805	1,640	Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Pee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$)		110	2452	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453	1,330	2453	665	Petition to revive - unintentional	
Fee from		1,330	2501	665	Utility issue fee (or reissue)	
Extra Claims below Fee Paid  Total Claims 20 **= X ==	1502 1503	480	2502	240	Design issue fee	
Independent — 20 — — —		640	2503	320	Plant issue fee	
Claims X = X = X Multiple Dependent	1460	130	1460	130	Petitions to the Commissioner	
	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	#100 00
Large Entity   Small Entity   Fee   Fee	1806	180	1806	180	Submission of Information Disclosure Stmt	\$180.00
Code (\$)   Code (\$)   1202 18   2202 9 Claims in excess of 20	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims				-0-	examined (37 CFR 1.129(b))	
over original patent	1801	770	2801	385	,	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$)	Other	fee (sp	ecify) _			
**or number previously paid, if greater; For Reissues, see above	*Redu	iced by	Basic	Filing F	ee Paid SUBTOTAL (3) (\$)	180.00

SUBMITTED BY	$\bigcirc A$	(Complete (if applicable))					
Name (Print/Type)	Rabert/Sokoni	Registration No. (Attorney/Agent) 36,013	Telephone	(20%) 371-2600			
Signature			Date 4	1/2/04			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Ú.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.